

May 1, 2019

OFFICE OF THE DIRECTOR

April 10, 2019 – Hearing before Council Committee on the Judiciary and Public Safety on OCF Fiscal Year 2020 Proposed Budget.

OFFICE OF THE GENERAL COUNSEL (See Attachments)

FAIR ELECTIONS PROGRAM DIVISION

The following is an accounting of FEPs production stats:
April 2019:

REPORTS AND OTHER ACTIVITIES

First candidate registered for FEP on April 8, 2019
Public Finance Planning Committee Meeting was held on 04/25/19.
Conducted Training for new FEP staff on 04/8/19.
Meeting with Mayor Muriel Bowser on 4/4/19 concerning the Fair Elections Program.
Conducted a seminar with the Latina Caucus on 4/18/19
ANC outreach project, FEP staff visited the following ANCs on 4/8/19 ANC 6D, 4/16/19 ANC 7F, 4/24/19 ANC 5A, and 4/25/19 ANC 8D.
Information Technology Specialist, Paul Awnor was selected 3/28/19 and started 4/29/19.
Meeting on 04/30/19 to view Interim FEP E-Filing system.
Proposed DCMR Chapters 30, 34, 37 and 99 were published in the DC Register on April 19, 2019
Public Service Announcements (PSA) started on WTOP Radio, and a Bulletin is airing on DCTV Cable network.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2019:

FINANCIAL REPORTS

April 1, 2019 Report of Receipts & Expenditures for the Constituent Service Programs, Senator/Representative Statehood Funds; the April 10th 2019 Report of Receipts and Expenditures for Political Action Committees and Independent Expenditure Committees

CONSTITUENT SERVICE PROGRAMS

April 1st Report of Receipts & Expenditures

Total number of required filers	9
Total number of timely filers	9
Total number of late filers	0
Total number of extensions requested	0

Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	9
Total number of Certified E-Filings	9

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1st Report of Receipts & Expenditures

Total number of required filers	3
Total number of timely filers	3
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	3
Total number of Certified E-Filings	3

POLITICAL ACTION COMMITTEES AND INDEPENDENT EXPENDITURE COMMITTEES

April 10th Report of Receipts & Expenditures

Total number of required filers	57(53PACs – 4IEs)
Total number of timely filers	54(51PACs – 3IEs)
Total number of late filers	0(0PACs – 0IEs)
Total number of extensions requested	0(0PACs – 0IEs)
Total number of extensions granted	0(0PACs – 0IEs)
Total number of FTF	3(2PACs – 1IEs)
Total number of OGC referrals	3(2PACs – 1IEs)
Total number of Electronic Filings	54(51PACs – 3IEs)
Total number of Certified E-Filings	54(51PACs – 3IEs)

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the April 10th Report of Receipts & Expenditures

POLITICAL ACTION COMMITTEES

Professional Insurances Agents PAC VA & DC
Kevin P. Kower, Treasurer

What’s Going On PAC
Charles Theis, Treasurer

INDEPENDENT EXPENDITURE COMMITTEES

D.C. Choice
Daye Pope, Treasurer

NEW CANDIDATES/COMMITTEES

April 2019:

Patrick Kennedy/Patrick Kennedy for Ward 2 (City Council) _____ 4/8/2019
 Committee to Hold Jack Evans Accountable (Recall Committee) _____ 4/12/2019

ENTRANCE CONFERENCES

(1) April 9, 2019 - PARTICIPANTS (1)

Gregory Steward	Treasurer, Urban Red Political Action Committee
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(2) April 17, 2019 - PARTICIPANTS (2)

Patrick Kennedy	Candidate, Patrick Kennedy for Ward 2
Mary Streznewski	Treasurer, Patrick Kennedy for Ward 2

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
 April 2019:

DESK REVIEWS (82) AND OTHER ACTIVITIES

Political Action Committees (includes amended Reports).....	51
Constituent Service Funds (includes amended reports).....	11
US Senator/Representative.....	3
Principal Campaign Committees (includes amended reports).....	13
Advisory Neighborhood Commission Candidates.....	0
Inaugural Committee.....	1
Exploratory Committees.....	0
Independent Expenditures.....	2
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	1
Attorney General.....	0
Audit Letters (RFAI) Sent.....	16
Follow-up letters sent.....	0
Case(s) Resolved.....	10
Cases Referred to the Office of the General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated.....	0
Hearings Attended.....	2
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended.....	0
In House Training.....	0
Entrance Conferences.....	1
Public Finance Planning Committee Meeting.....	0

ONGOING AUDITS

Full Field Audits:

- (1) Sheika Reid 4 Ward 1 – Initiated August 29, 2018 (Principal Campaign Committee)
- (2) DC Proud 2019 Inaugural Committee – Initiated April 22, 2019 (Inaugural Committee)
- (3) Frazier O’Leary for Ward 4 –Initiated 03/04/19 (Newly Elected Official/School Board 2018 Election)
- (4) Committee to Elect Zachary Parker 2018 – Initiated 03/05/19 (Newly Elected Official/School Board 2018 Election)
- (5) Emily for Education – Initiated 03/04/19 (Newly Elected Official/School Board 2018 Election)

Periodic Random Audits:

- (1) Ward 4 Constituent Service Fund – April 1, 2019 Filing (Constituent Service Program)
- (2) Ward 7 Constituent Service Fund - April 1, 2019 Filing (Constituent Service Program)
- (3) Do Something Constituent Service Fund – Ward 8 - April 1, 2019 Filing (Constituent Service Program)

****Note: The Audit Records are due April 29, 2019.

AUDITS ISSUED

- (1) Economic & Protection Party – Issued April 29, 2019.
- (2) Ward Seven Democrats – Issued April 29, 2019
- (3) ABC of Metro Washington DC PAC – Issued April 2, 2019
- (4) Jessica Sutter for SBOE – Issued April 19, 2019

2019 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				3			
Informal Hearings Completed				26			
Informal Hearings Orders Issued				20*			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 10 Failure to Timely File ANC Summary Financial Statement orders in which a total \$24,450.00 fines were imposed and 10 Failure to Timely File orders regarding Principal Campaign Committees in which a total of \$17,750.00 in fines were imposed.

PIRM

PCC	0
PAC's	3
ANC's	0
500 Exemption	0
Constituent Services	0
Statehood Fund	0
Initiatives	0
Referendum	0

RAAD

PCC	0
PAC's	0
Constituent Services	0
Statehood Fund	0

1. Notices of Hearings	48
First Notices	20
Second Notices	28
2. Notices and Orders Vacated	0
3. Hearings Conducted	6
4. Orders which imposed fines	20
5. Fines imposed	\$42,200.00

1. \$2,950.00 Mary Cuthbert
2. \$700.00 Charlene Exum
3. \$2,850.00 Regina Pixley
4. \$2,850.00 DeJuan George
5. \$2,950.00 Joseph Johnson
6. \$2,950.00 Alvin Judd
7. \$400.00 Anthony Muhammad
8. \$2,950.00 Kendall Simmons
9. \$2,950.00 Jewell Stroman
10. \$2,900.00 Takiya Tate
11. \$1,750.00 Melik for At-Large
12. \$1,750.00 Dustin Canter for DC Mayor
13. \$1,750.00 Justin Greene At-Large
14. \$1,750.00 Holmes for DC
15. \$1,750.00 Nate Brown Mr. Ward 6
16. \$1,800.00 Cmte. to Elect Professor Alpha Bah
17. \$1,800.00 Cmte. to Elect Ann Wilcox
18. \$1,800.00 Lannette Woodruff for School Board
19. \$1,800.00 Cmte. to Elect Jacque Patterson 2016
20. \$1,800.00 Patterson for Ward 8

- 6. Fines collected \$1,500.00 from Jeffrey Thompson
 \$2,000.00 Reduced fine based upon full compliance with order
 requiring Ethics training.by BEGA.
- 7. Investigations completed 0
 Orders issued
- 8. Petitions for Enforcement 0
- 9. Outstanding Fines To be Determined*

INTERPRETATIVE OPINIONS

May 1, 2019

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

LIST OF OPEN OCF INVESTIGATIONS

May 1, 2019

NONE

SHOW CAUSE PROCEEDINGS

May 1, 2019

NONE